### Version 21-4-2017

European Transport Innovation Challenge - Recognising Transport Innovators for a Cleaner, more Efficient Future in Transport

### Rules of contest

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The European Transport Innovation Challenge ('the Challenge') is organised by the European Commission ('the Commission') with the support of The Challenge coordinator ('the Challenge coordinator').

The Commission department in charge of the Challenge is the Directorate-General for Mobility and Transport, also known as DG MOVE.

The Challenge website is: <a href="https://ec.europa.eu/transport/themes/research/challenge">https://ec.europa.eu/transport/themes/research/challenge</a> en

The Competition is conducted under Horizon 2020, the EU Framework Programme for Research and Innovation.

By submitting an entry, contestants accept these rules of the contest, which govern the Challenge and agree to comply with them.

### I. Objective of the Challenge

- 1. The Commission wants to give a platform to new ideas in transport, and recognise the talent and engagement of transport innovators to fostering sustainable and smart transport in Europe. The Commission is looking for novel approaches to (i) better mobility, (ii) better access to mobility, (iii) less pollution, (iv) less congestion (v) more safety and security.
- 2. The 2017 Challenge is entitled "European Transport Innovation Challenge" and will foster entrepreneurial ideas that demonstrate innovative, disruptive solutions to transport challenges, particularly those that contribute to the decarbonisation and efficiency of the European Transport System. These ideas can be for new products and services, and for significant improvements of existing products and services.
- 3. The Challenge aims to stimulate transport innovators' potential to provide solutions to the transport sector by recognising the talent and engagement of transport innovators to fostering sustainable and smart transport in Europe. The Challenge will directly promote ideas best illustrating that potential.
- 4. The Challenge aims to provide benefits such as visibility for the ideas and networking opportunities. It will enable finalists to connect with a wide range of transport innovation investors, experts, organisations and networks.

### II. Timelines

- 1. The Challenge will give awards on one occasion.
- 2. Entries must be received on the Challenge website by 7 May 2017, 23:59h (CET).

Up to 12 applicants achieving the highest scores in the evaluation by an independent jury will be invited to attend the  $12^{th}$  ITS Conference in Strasbourg from 19-22.6.2017. Contestants must undertake to be available to travel on 18 June to be in Strasbourg for a pre-event workshop on 19 June, and to participate in the event on 20 and 21 June.

The Commission reserves the right to select a smaller number of winners.

#### III. Awards

- 1. This is a recognition award. It aims to provide opportunities for transport innovators in recognition for the vital role they play in achieving the European Union's climate goals.
- 2. Winners will participate in <u>a major transport-related event in Europe</u> where they will meet top decision-makers from the Commission.
- 3. A summary of the ideas scoring 60 points or more out of 100 will be published on the Challenge website.
- 4. There is no monetary prize.
- 5. The Commission will meet:
  - the travel costs of the winners in line with Commission rules on travel by the most economic means;

- the cost of three-nights' accommodation in Strasbourg on June 18, 19 and 20 in accommodation selected by the Commission;
- the cost of a workshop for participants on June 19;
- the cost of the fee for the participation in most sessions of the Conference on June 20 and 21.
- 6. Winners are responsible for any other costs, including, incidental living expenses, health insurance and travel visas if needed.
- 7. Once winners have been notified of their success, the Challenge coordinator will contact them to arrange travel plans. Once contacted, winners should provide the Challenge coordinator with the information necessary to make all the arrangements within the time frames indicated by the Challenge coordinator.
- 8. Winners who are not free to participate in the workshop in Strasbourg on June 19 (which probably involves travelling on June 18) and be in Strasbourg on June 19, 20 and 21 will be disqualified and the Commission will be free to select alternate winners based on their scores in the Challenge.
- 9. All entries received by the deadline will first be scrutinised for eligibility and then be assessed in order to select the most promising ideas which will qualify for the award.

# IV. Eligibility conditions for applying

- Challenge contestants must be legal residents of Member State of the EU (see <a href="http://europa.eu/about-eu/countries/index\_en.htm">http://europa.eu/about-eu/countries/index\_en.htm</a>) or associated countries of Horizon 2020 <a href="http://ec.europa.eu/research/participants/data/ref/h2020/grants\_manual/hi/3cpart/h2020-hi-list-ac\_en.pdf">http://ec.europa.eu/research/participants/data/ref/h2020/grants\_manual/hi/3cpart/h2020-hi-list-ac\_en.pdf</a>)
- 2. Ideas and proposals from all sources, sectors and all types of organisations including for-profit, non-for-profit, or private companies are welcome. Applications involving several individuals/legal entities and/or from various countries are possible. Individuals entering on their own account must be aged 18 or over on 18 June 2017. Any entry not from a single individual must designate one person to pick up the award within five working days of being notified that they are a winner. That individual or that designated person must be aged 35 or less on 18 June 2017.
- 3. Companies or companies employing teams entering the Challenge must fall within the EU definition of an SME (to be found at: <a href="http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=URISERV%3An26026">http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=URISERV%3An26026</a>
- 4. Family members and staff of the Commission and of its offices/agencies, family members and staff of the Challenge coordinator and other entities working on the Challenge are not eligible to submit an entry.

### V. Evaluation criteria

- 1. The entries will be evaluated by an independent jury of up to five experts.
- 2. The names of the jury members will be published on the above-referenced website as soon as they are available.
- 3. The judges' recommendations are not binding on the Commission, whose word is final.

- 4. The successful entries for the Challenge awards will be those that best meet the criteria outlined below.
- quality of pitch: ability to sum up the project's contribution to the objectives of the Challenge in some 100 words in terms that would arouse interest in a potential investor or implementer of the idea.
- degree of innovation to keep both people and goods moving. This is understood as including both disruptive and incremental innovations and assessed, in a given context, in comparison with solutions already developed and implemented
- impact assessed as the potential of the proposed idea to meet the challenges arising from cutting transport CO2 emissions by at least 40% by 2030. Does it contribute to (i) better mobility, (ii) better access to mobility, (iii) less pollution, (iv) less congestion, (v) more safety and security.
- implementation assessed as the potential of (i) the proposed idea to turn into a project which can be implemented and (ii) as the potential of the proposed idea to be sustained.
- 5. For the evaluation phase of the Challenge (i.e. for the selection of the finalists based on their initial entries), the following weights will apply to the judging criteria:
  - Summary of the contribution of the idea to the objectives of the Challenge 10%
  - degree of innovation 50%;
  - impact 20%;
  - implementation 20%.
- 6. Entries must be contestants' original ideas. Contestants may propose new or improved ways to implement existing solutions, combine them, or adapt them to a different context or target group, but they may not be exact copies of those.
- 7. Contestants must demonstrate the novelty of their solution, in comparison with those already developed/implemented by themselves or by others in the context for which they are intended.
- 8. The Commission reserves the right to refuse any entry, to suspend or terminate the Challenge and to amend the present rules of contest at any time without prior notice.
- 9. The Commission will inform the public of any such change on the Challenge website. Changes will take effect from the date they are posted on the Challenge website.
- 10. Contestants are expected to visit the Challenge website regularly in order to get the most up-to-date information. Failing to do so may not be used as a valuable reason to contest the Challenge results.

# VI. How to apply?

- 1. Entries must be submitted using the on-line entry form to be filled on the above-mentioned Challenge website.
- 2. Entries must be submitted on the Challenge website in English.
- 3. The Commission will use the contact details provided in the entry form to contact the contestants about the Challenge.

- 4. To enter the Challenge, contestants must fill in all sections of the entry form. The content of the entry form is subject to the rules outlined in this document. Only Section II of the entry form is taken into account in the judging.
- 5. Within the on-line entry form, contestants will be asked to provide a brief summary of their idea that may be posted on the Challenge website and a Twitter-type summary which may be used by the Commission on social media. This summary should include the hashtag #eutransportinnovator.
- 6. In addition, the Commission will carry out promotional activities and publish results of the Challenge. The Commission will publish the name of the winners, their locality, and the purpose of their idea project.
- 7. Contests will be evaluated only on Section 2 of the entry form, but as part of the application, will also be asked a few short questions for statistical purposes in order for the Commission to understand better where interest in the Challenge comes from.
- 8. Contestants may submit more than one entry and be named as a partner in more than one entry. There is no limit to how many entries an individual or legal entity may submit, but in the event that more than one of these entries achieves a winning score, the leading entry will be declared a winner and other entries will be declared ineligible to collect an award.
- 9. Entries competing for the Challenge awards must be received on the Challenge website by the cut-off date of 7 May 2017, at 23:59h (CET). The original deadline of 2 May was extended.
- 10. All costs of entering the Challenge must be borne by contestants.
- 11. The Commission is not responsible for entries which are lost, damaged or late due to computer, network or telecommunications failure. Late entries will not be considered.
- 12. The Commission is not responsible for inaccuracies in the details which have been submitted by the contestants.
- 13. Entries must not invade the publicity rights or privacy of any person, living or deceased, or otherwise infringe upon any individual's personal or proprietary rights.
- 14. Entries must be suitable for publication in a public forum.
- 15. Entries must not serve advertising purposes for products and services (commercial and non-commercial) of particular businesses or economic interests or be inappropriate in any other way.
- 16. The Commission will exclude an economic operator from participating in the Challenge by virtue of Article 106(1) of the Regulation (EU, Euratom) No 2015/1929 of the European Parliament and of the Council of 28 October 2015 on the financial rules applicable to the general budget of the Union.
- 17. Before winners of the Challenge can receive their award, the Commission will ask them to sign a declaration of honour that they are not in a position described in Articles 106 108 of Regulation 966/2012: <a href="http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1488269698616&uri=CELEX:02012R0966-20170101">http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1488269698616&uri=CELEX:02012R0966-20170101</a>.

In case of doubt, additional evidence may be required by the Commission.

- 18. By entering the Challenge and registering their entry on the Challenge website, contestants certify that they meet these eligibility criteria and that all information they submitted is true, accurate and complete.
- 19. Contestants agree to abide by and be bound by the Challenge rules and the decisions of the Commission, which are final in all matters relating to this Challenge. Furthermore, the Challenge will be run in the most open and transparent manner.
- 20. By entering the Challenge, contestants agree with this policy and consent to the use of their name, the summary of their proposal, and additional audio-visual material or web links which were referred to in their entry. If an entry is submitted by a group of individuals or organisations, the person completing the entry form is responsible for compliance with these rules of contest by other group members.
- 21. The finalists will receive more detailed guidance once the evaluation phase is closed and the challenge awards have been awarded.

## VII. Ownership/Use of the entries

- 1. The contestants grant the Commission the right to display, translate or communicate the idea and its results by any medium, including on the Challenge website, provided it does not thereby breach its confidentiality obligations or existing industrial and intellectual property rights. The contestants grant the Challenge coordinator the same right, within their legal and contractual obligations in order to perform the tasks for implementing the Challenge, which are outlined in their specific contract with the Commission.
- 2. Any subsequent communication or publication by the winners of the Challenge of their idea in the form in which it was submitted for the Challenge, including at a conference or seminar, shall indicate that the project has received an award from the European Union.
- 3. Contestants will have the right, but not the obligation, to use the visual for the Challenge in communicating on or in publications about their idea or project.
- 4. By submitting an entry, contestants declare that they have obtained, or will obtain, all authorisations, consents and permissions necessary to submit their entry, carry out their proposal and comply with these terms and conditions and, to the best of their knowledge, that their entry will not infringe any intellectual property or other third party rights or breach any contractual obligation.
- 5. The Commission assumes no responsibility for disputes between persons claiming copyrights on any sort in relation to an entry submitted for the Challenge. Where industrial and intellectual property rights, including rights of third parties, exist prior to the Competition ("pre-existing intellectual property rights"), contestants shall establish a list which shall specify all rights of ownership and use in the pre-existing intellectual property rights and may be asked to disclose it to the Commission should they be proposed as winners at the latest prior to the commencement of implementation of their idea. The winners of the Challenge shall ensure that they have all rights to use any pre-existing intellectual property rights in implementation of their idea.

### VIII. Equal opportunities

1. The European Union promotes equality between women and men and aims in all its activities to eliminate gender inequalities.

#### IX. Personal data

- 1. Entries will be processed by computer.
- 2. All personal data (such as names, addresses and other details) will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the European Union institutions and bodies and on the free movement of such data. Details about contestants and replies to the questions in the entry form are necessary information in order to assess the entries and inform the contestants about the results of the Challenge.
- 3. Data will be processed solely for the purposes of the performance, management and monitoring of the Challenge by the Commission and the Challenge coordinator, acting as data controller, without prejudice to possible transmission to the bodies charged with monitoring or inspection task in application of European Union law.
- 4. Contestants will have the right to access their personal data and the right to rectify any such data. Should the contestants have any queries concerning the processing of their personal data, they will address them to the entity acting as data controller and will have right of recourse at any time to the European Data Protection Supervisor.
- 5. The Challenge coordinator supporting the Commission for the organisation of the Challenge is bound to strict legal obligations as regards the processing of data of third parties.

### X. Liability

- 1. Contestants shall have sole responsibility for complying with any legal obligations incumbent on them.
- 2. The Commission shall not be held liable for any damage caused or sustained by any of the participants, including any damage caused to third parties as a consequence of or during the implementation of the activities related to the Challenge.
- 3. Consequently, the Commission will not entertain any request for indemnity or reimbursement accompanying any such claim.
- 4. Except in cases of force majeure, contestants shall make good any damage sustained by the Commission as a result of their participation in the Challenge.
- 5. Contestants shall bear sole liability vis-à-vis third parties, including for damage of any kind sustained by them while the idea submitted to the Challenge is being implemented.

### XI. Cancellation of the contest

- 1. The Commission may cancel the contest or decide not to give an award without any obligation to indemnify contestants if:
  - (a) the objective of the contest has already been achieved;
  - (b) no applications are received;
  - (c) the jury does not find a winner or;
  - (d) winners are not eligible or must be excluded.

# XII. Questions and answers

1. For further questions please contact the Challenge coordinator at MOVE-TRANSPORT-INNOVATOR@ec.europa.eu