

Annex I
General work programme for grants
in the field of energy and transport in 2004

The amounts shown in this work programme refer to the Commission's 2004 budget, more particularly to the appropriations considered for the enlarged Union of 25 Member States.

This work programme is divided into three parts:

- A. work programme for grants awarded following a call for proposals where there is no basic act
- B. work programme for grants which are not based on a call for proposals, the award of which will be covered by a subsequent decision
- C. work programme for grants to be awarded under a basic act for the specific programmes in the field of energy and transport and based on a call for proposals.
 - Marco Polo programme,
 - Trans-European transport and energy networks,
 - Intelligent Energy for Europe programme,
 - Certain actions under the 6th research framework programme.

A. GRANTS AWARDED WITHOUT A BASIC ACT FOLLOWING A CALL FOR PROPOSALS

A.1. Budget line: 06 01 02 11 02 (Conference and meeting expenses)

Legal basis:

Administrative expenditure of the Commission

Objective(s):

- To support the organisation of conferences of European interest on energy and transport.

Expected result(s):

- Pooling of experience and reflection on the themes of Commission policies.
- Exploitation of the results of the Commission's work, in particular operational actions, research projects and legislative initiatives.

Main themes proposed for 2004	<p>Conferences on energy and transport of European interest in the enlarged Union, relating to the following political priorities:</p> <ul style="list-style-type: none"> - energy and transport security - maritime safety - trans-European transport networks and infrastructure charging - energy infrastructure: security of supply in the Union - more rational use of energy in the Union - development of the rail sector (freight and passengers) - nuclear: non-proliferation, safety and security - Galileo.
Date of calls for proposals	February 2004
Main selection criteria	<ul style="list-style-type: none"> - Applicants' financial capacity: Applicants must proof their existence in law and as a legal person; have the financial and operational capability to carry out the action and must provide their balance sheet for the last financial year for which the accounts have been closed. The latter requirement does not apply to public bodies and international organisations. - Applicants' technical capacity: applicants must have the technical and operational capacity to carry out the action and must provide the documentation requested – curricula vitae of the persons responsible for implementing the action, description of relevant projects and activities carried out during the last three years.
Main award criteria	<ul style="list-style-type: none"> - Quality of the proposed approach: evaluation of the means used to organise the conference, the methods of establishing contacts, the organiser's expertise, the venue and timing of the event, the scope for discussion, etc. - European dimension: the theme must match the Commission's political objectives, be of interest and concern several EU countries; the speakers and the target audience must come from several Member States and where appropriate also from third countries. - Added value: the Commission will evaluate the extent to which the proposed event will contribute and add real value to energy and transport policy. - Target audience: the Commission will evaluate the extent to which the planned conference reaches the target audience and whether the required multiplier effect is achievable. - Visibility: the application reasoning should clearly indicate the means used to disseminate information at three stages: before, during and after the conference. - Cost-efficiency ratio: the budget, broken down by categories of expenditure, must show the action to have a good cost-efficiency ratio (balance between the expected results and the amount of the grant). - Distribution: the Commission will ensure a fair distribution between conferences in the field of energy and transport.
Funding rate	Maximum 30% of the total eligible costs of the action
Budget	€250 000

A.2. Budget line: 06 02 03 01 (Transport safety)

Legal basis:

Tasks resulting from the Commission's prerogatives at institutional level in accordance with Article 49(2) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities.

Treaty establishing the European Community, in particular Articles 71, 80 and 154 to 156 thereof.

Objective(s):

In general, collection and processing of all types of information required for the analysis, definition, promotion, monitoring, evaluation and implementation of the measures and rules needed to improve the safety of land, air and sea transport, without unduly affecting the economic efficiency of these transport modes and extension to third countries.

- 1) Improve road safety in the European Union, the enlargement countries and the countries of the European Economic Area in the framework of the Commission's Communication (2003)311 "European Road Safety Action Programme — Halving the number of road accident victims in the European Union by 2010: a shared responsibility" by means of studies, campaigns, establishment of best practice and demonstrations in the following areas:
 - user behaviour, with particular regard to checks and penalties to enforce drivers' compliance with the rules, training of private and professional drivers, physical and mental aptitude for driving, measures to combat driving under the influence of drugs, alcohol or medicines, and the use of safety equipment;
 - vehicle technology, in particular impact resistance, technical inspection, active and passive vehicle safety;
 - road infrastructure, in particular road safety audits, evaluation of roads and tunnels according to safety criteria, harmonisation of signs and signals;
 - road technology, in particular haulage and passenger transport and intelligent technologies for road safety;
 - information and databases, in particular improved collection, analysis and dissemination of road accident data (conditions, causes, impact, under-declaration, independent inquiries, multidisciplinary approach, risk exposure variables);
 - evaluation of national road safety policies;
- 2) Improve maritime safety in the European Union, the enlargement countries and the countries of the European Economic Area by means of studies, campaigns, establishment of best practice and demonstrations in the following areas.
 - safety of maritime transport by means of high standards of training for crews and maritime administrations;
 - measures to raise awareness and inform the public and maritime operators about Community maritime safety initiatives;
 - actions to improve all aspects of navigational safety and pollution prevention in European maritime waters;
- 3) Improve safety on the railways by harmonising legislation, technical standards and administrative inspection practices and by establishing common indicators, methods and objectives;
- 4) Improve aviation safety in the European Union, the enlargement countries and the countries of the European Economic Area by means of studies, campaigns, establishment of best practice and demonstrations in the following areas:
 - implementation of the Single Sky;
 - improvement of aviation safety conditions by establishing a coherent regulatory framework applicable to Community aircraft, operators and personnel;

- support to international aviation safety organisations for the establishment of inspection and co-operation mechanisms with third countries;
- adaptation of the capacity of airspace infrastructure to air traffic requirements

Expected result(s):

Achievement of the objectives set out in the White Paper "European transport policy for 2010: time to decide", in particular to halve the number of accident victims by 2010;
 Improved knowledge of the problems associated with transport safety in order to provide more appropriate solutions;
 Preparation of the legislative proposals included in the Commission's work programme;
 Improvement of information and accident prevention policies;
 Standardisation of the rules for monitoring infringements;
 Exploitation of the results of the Commission's work, particularly operational actions, research projects and legislative initiatives;
 Extension of the Union's policies to the future Member States.

Main themes proposed for 2004	<ul style="list-style-type: none"> - Support for European information campaigns on road safety, in particular the themes of the Road Safety Charter, the designated driver campaign, wearing of safety belts, etc. - Development of technical assessment programmes for vehicles and road infrastructure. - Support for measures to coordinate and harmonise methods of monitoring road safety. - Updating, in all EU languages, of the technical annexes to the directives on the transport of dangerous goods. - Development of quality labels for vehicles and equipment in order to guarantee an optimum level of safety. - Information on driving licences. - Use of new technologies, in particular for the installation in the long-term of black boxes in all vehicles. - Protection of vulnerable users, in particular improvement of roads and verges for motorcyclists. - Support for innovative European-scale projects in all areas covered by the above objectives.
Date of calls for proposals	February 2004
Main selection criteria	<ul style="list-style-type: none"> - Applicants' financial capacity. (Applicants must proof their existence in law and as a legal person; have the financial and operational capability to carry out the action and must provide their balance sheet for the last financial year for which the accounts have been closed. The latter requirement does not apply to public bodies and international organisations). - Applicants' technical capacity. (Applicants must have the technical and operational capacity to carry out the action and must provide the documentation requested – curriculum vitae of the persons responsible for implementing the action, description of projects and activities carried out during the last three years).
Main award criteria	1) Coherence with the objectives of Community policy, in particular those set out in the Commission Communication on road safety

	<p>2) Quality of the action:</p> <ul style="list-style-type: none"> - European dimension: the Commission will assess the extent to which the proposed action contributes and adds value to the common transport policy. Campaigns of local interest are excluded. - Innovative character: the Commission will assess the extent to which the proposed action will lead to new approaches and practices. - Multiplier effect: the Commission will assess the extent to which the proposed action will permit the transfer, generalisation, dissemination or large-scale application of results, experience, knowledge and good practice. - Cost-efficiency ratio: the budget, broken down by categories of expenditure, must show the action to have a good cost-efficiency ratio (balance between the expected results and the amount of the grant). - Evaluation: the Commission will assess the proposed evaluation method and the quality of the result indicators compared with the proposed objectives. - Visibility: the description of the action must include the means by which the Community action will be made visible (publications, organisation of events, Internet sites, CD-ROM, etc.). - Scale of the action: the Commission will give priority support to large-scale projects. <p>3) Quality of the application: the organisation of the action must be described in detail, including in particular the following aspects:</p> <ul style="list-style-type: none"> - Work plan (clarity and coherence of the objectives, adequacy of the expected results) - Action schedule - Proposed methodology.
Funding rate	Between 10% and 50% of the total eligible costs of the action
Budget	€1 400 000

A.3. Budget line: 06 02 04 01 (Internal market and optimisation of transport networks)

Legal basis:

Tasks resulting from the Commission's prerogatives at institutional level in accordance with Article 49(2) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities.

Treaty establishing the European Community, in particular Articles 71, 80 and 154 to 156 thereof.

Objective(s) :

- 1) Completion, management and development of the large transport market, including its extension outside the Community, focusing on the elimination of bottlenecks at borders in areas where natural barriers impede the free movement of persons and goods.
- 2) Observation of the freight and passenger transport market (all modes), including improved collection of statistical data by Member States.
- 3) Development of a sustainable mobility policy in land, sea and air transport, in particular:
 - Support for pilot actions in the field of road transport, in particular through using new technologies with the aim of standardising procedures;
 - Support for the modernisation of short-sea shipping and inland waterway transport;

- Improved interoperability of transport systems;
- Promotion and improvement of the quality of rail transport, particularly for freight;
- Standardisation mandates granted to European standardisation bodies and other organisations in all transport sectors and the development of technical specifications for interoperability (rail transport);
- Implementation of the open sky agreements.

Expected result(s):

- Achievement of the objectives set out in the White Paper “European transport policy for 2010: time to decide”;
- Gradual rebalancing towards more environment-friendly modes;
- More dialogue with industry to encourage sustainable mobility, mainly by controlling environmental impacts and rebalancing transport modes;
- Development of the interoperability of transport modes;
- Development of rail freight;
- Development of short-sea shipping and inland waterway transport;
- Finalisation of international aviation agreements protecting the interests of European airlines;
- Extension of EU policies to the future Member States.

Main themes proposed for 2004	<ul style="list-style-type: none"> - Support for pilot standardisation actions, e.g. for the development of sophisticated systems such as the digital tachograph. - Development of short-sea shipping in Europe, by supporting promotion centres or communication actions. - Establishment of an approach to improve quality in movements of wagons belonging to private companies. - Study on the reduction of emissions from diesel locomotives in the context of renewable energy sources. - Harmonisation and coordination of checks on waterways by instituting cooperation between national river police authorities. - Observation of the inland navigation market. - Support for innovative, European-scale projects in all areas covered by the above objectives.
Date of the calls for proposals	February 2004
Main selection criteria	<ul style="list-style-type: none"> - Applicants’ financial capacity (Applicants must proof their existence in law and as a legal person; and have the financial and operational capability to carry out the action and must provide their balance sheet for the last financial year for which the accounts have been closed. The latter requirement does not apply to public bodies and international organisations) - Applicants’ technical capacity (Applicants must have the technical and operational capacity to carry out the action and must provide the documentation requested – curricula vitae of the persons responsible for implementing the action, description of projects and activities undertaken during the last three years).

Main award criteria	<p>1) Quality of the action:</p> <ul style="list-style-type: none"> - European dimension: the Commission will assess the extent to which the proposed action contributes and adds value to the common transport policy. - Innovative character: the Commission will assess the extent to which the proposed action will lead to new approaches and practices. - Multiplier effect: the Commission will assess the extent to which the proposed action will permit the transfer, generalisation, dissemination or large-scale application of results, experience, knowledge and good practice. - Cost-efficiency ratio: the budget, broken down by categories of expenditure, must show the action to have a good cost-efficiency ratio (balance between the expected results and the amount of the grant). - Evaluation: the Commission will assess the proposed evaluation method and the quality of the result indicators compared with the proposed objectives. - Visibility: the description of the action must include the means by which the Community action will be made visible (publications, organisation of events, Internet sites, CD-ROM, etc.). <p>2) Quality of the application: the organisation of the action must be described in detail, including in particular the following aspects:</p> <ul style="list-style-type: none"> - Work plan (clarity and coherence of the objectives, adequacy of the expected results) - Action schedule - Proposed methodology
Funding rate	Between 10% and 50% of the total eligible costs of the action
Budget	€4 000 000

B. GRANTS PROVIDED WITHOUT CALLS FOR PROPOSALS

Some grants will be made to beneficiaries who can demonstrate a *de jure* or *de facto* monopoly. These grants will be the subject of an “ad hoc” Commission decision

At this stage, actions planned under this funding approach must meet the following criteria:

B.1. Budget line 06 01 04 04 (Financial support for projects of common interest in the trans-European transport network — Expenditure on administrative management)

Legal basis:

- Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks, as last amended by Regulation (EC) No 1655/1999.
- Council decision (at the drafting stage) on the creation of an EU/Switzerland Traffic Observatory.

Objective(s):

- Implementation of Article 45 of the EU/Switzerland Agreement on inland transport signed on 21 June 1999;
- Monitoring of road, rail and combined transport traffic in the Alpine regions.

Expected result(s):

- Improved management of traffic crossing the natural barrier formed by the Alps.

Main themes proposed for 2004	- Collection and analysis of statistical data on road, rail and combined transport in the Alpine region.
Reception date for requests	End of May 2004
Estimated budget	€250 000

B.2. Budget line 06 02 03 01 (Transport safety)

Legal basis, objective(s) and expected result(s): see paragraph A.2 above.

Main themes proposed for 2004	<ul style="list-style-type: none"> - Support for international organisations to improve aviation safety, particularly through the implementation of monitoring and co-operation mechanisms with third countries . - Improvement of aviation safety by standardising the air traffic control sector, together with the implementation of the Single Sky following adoption of the Regulation on the interoperability of the European air traffic control network (Article 4(1)(a)). - Support for the programme of safety assessments of third-country aircraft using Community airports. - Improvement of aviation safety by establishing international standards and obligations.
Reception date for requests	- End of May 2004
Estimated budget	€ 500 000

B.3. Budget line 06 02 03 02 (Transport security)

Legal basis:

See paragraph A.2 above.

Objective(s):

Improve aviation security in the European Union and the European Economic Area by establishing best practice, in particular support for international aviation security organisations to establish training, inspection and cooperation mechanisms.

Expected result(s):

- Improved knowledge of the problems relating to transport security with a view to providing more appropriate solutions;
- Preparation of the legislative proposals included in the Commission's work programme;
- Improved information, prevention and training policies.

Main themes proposed for 2004	<ul style="list-style-type: none"> - Support for international organisations to improve air security, particularly in South-east Asia - Support for organisations training auditors and inspectors
Reception date for requests	- End of May 2004
Estimated budget	€000 000

B.4. Budget line 06 02 04 01

(Internal market and optimisation of transport systems)

Legal basis, objective(s) and expected result(s): see paragraph A.3 above.

Main themes proposed for 2004	Improvement of rail transport by increasing interoperability (the grant will be awarded to the body designated in accordance with Directive 2001/16/EC)
Reception date for requests	- End of May 2004
Estimated budget	€1 500 000

C. GRANTS AWARDED BY VIRTUE OF A BASIC ACT AND BASED ON A CALL FOR PROPOSALS

The Commission is implementing four multiannual programmes adopted under the co-decision procedure in the field of energy and transport:

- Marco Polo
- Trans-European networks
- Intelligent energy
- 6th research framework programme

These multiannual programmes will be implemented in accordance with the corresponding basic act, which provides for a committee procedure and the European Parliament's right of scrutiny.

The following description of each of the four multiannual programmes is strictly informative. Except for the 6th research framework programme, specific financing decisions will be adopted in 2004 for each of these programmes, thus modifying the current annual work programme.

C.1. Budget line 06 02 07 (Marco Polo programme)

Legal basis:

Regulation (EC) No 1382/2003 of the European Parliament and of the Council of 22 July 2003 on the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo Programme).

Work programme:

The expected objectives and results are described in the legal basis.

In view of the date on which the Marco Polo programme was adopted in 2003, the work programme for the first year was adopted on 10 October 2003 (C/2003/3627) and implemented by the call for proposals published on 11 October 2003.

The actions planned for 2004 will be described in a detailed annual work programme which will be adopted in the second quarter of 2004, after receiving the approval of the committee of Member States' representatives.

Planned date of the call for proposals: June 2004
Amount of the call for proposals: €20 000 000

C.2. Budget lines 06 03 01 (Financial support for projects of common interest in the trans-European transport network) and 06 03 02 (Financial support for projects of common interest in the trans-European energy network)

Legal basis:

- Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks, as last amended by Regulation (EC) No 1655/1999.
- Commission Decision C (2001)2654 of 19 September 2001 establishing an indicative multiannual programme for the granting of Community financial aid to projects of common interest in the area of the trans-European transport network for the period 2001-2006.
- Council Decision No 96/391/EC of 28 March 1996 laying down a series of measures aimed at creating a more favourable context for the development of trans-European networks in the energy sector.
- Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network
- Decision No 1346/2001/EC of the European Parliament and of the Council of 22 May 2001 amending Decision No 1692/96/EC as regards seaports, inland ports and intermodal terminals as well as project No 8 in Annex III.
- Decision No 1229/2003/EC of the European Parliament and of the Council of 26 June 2003 laying down a series of guidelines for trans-European energy networks and repealing Decision No 1254/96/EC.

Work programme:

The expected objectives and results are described in the legal basis.

The specific work programme for the trans-European transport and energy networks (TENs) is adopted by a committee procedure. The 2004 work programme for the trans-European transport networks will implement the framework decision of 19.9.2001 (C(2001) 2654) on the indicative multiannual programme (IMP) for priority projects. In addition to these projects, the work programme will support the ERTMS Egnos project and other initiatives. Grants for non-IMP projects will be awarded on the basis of a Commission decision adopted by the Member of the Commission responsible for the TENs under the empowerment procedure, after consulting the committee of Member States' representatives. Grants for the IMP projects will be awarded by Commission Decision adopted by the Director-General responsible for the TENs under the subdelegation procedure.

The work programme for the trans-European energy networks will implement projects of common interest designated on the basis of the guidelines adopted by Parliament and the Council. Grants will be awarded on the basis of a Commission decision adopted by the Member of the Commission responsible for the TENs under the empowerment procedure, after consulting the committee of Member States' representatives.

Date of the call for proposals: January 2004

Amount of the call for proposals: €666 000 000 TEN-Transport

€21 500 000 TEN-Energy

C.3. Budget lines 06 04 01 (Intelligent energy for Europe programme (2003 to 2006)) and 06 04 02 (Intelligent energy for Europe programme (2003 to 2006), External strand)

Legal basis:

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: "Intelligent Energy - Europe" (2003 - 2006)

Work programme:

The expected objectives and results are described in the legal basis.

In view of the date on which the Intelligent Energy programme was adopted in 2003, the programme for the first year was adopted on 1 December 2003 (C(2003)4436) and implemented by the call for proposals published on 24 December 2003 (OJ C 315, 24.12.2003, p.33).

The actions planned for 2004 will be described in a detailed annual work programme to be adopted in the second quarter of 2004, after consulting the programme management committee.

Planned date of the call for proposals: June 2004

Amount of the call for proposals: €2 690 000 for line 06 04 01

€4 915 000 for line 06 04 02

C.4. Budget lines linked to the sixth research framework programme:

06 06 01 (Aeronautics and space), 06 06 02 (Sustainable development, global change and ecosystems, Sustainable energy systems, and Sustainable surface transport) and 06 06 03 (Supporting policies and anticipating scientific and technological needs)

Legal basis:

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006).

Council Decision No 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: "Integrating and strengthening the European Research Area" (2002-2006).

Work programme:

It is reminded that the detailed work programme for 2004 for the areas "Aeronautics and space" and "Sustainable development, global change and ecosystems — Sustainable energy systems and sustainable surface transport" was adopted by the Commission on 9 December 2002 (C(2002)4789). This work programme has been implemented, for the projects to be financed in 2004, by the call for proposals published on 17 June 2003 (reference FP6-2003-TREN-2 (OJ C 141, 17.06.2003)).

Likewise, the work programme for 2004 for the areas "Supporting policies and anticipating scientific and technological needs" was adopted by the Commission on 9 October 2003 (C(2003)3543). This work programme has been implemented, for the projects to be financed in 2004, by the call for proposals published on 10 October 2003 (reference FP6-2003-SSP-3 (OJ C 243, 10.10.2003)).

Implementation of the projects relating to the thematic priority of space has been entrusted to the Galileo Joint Undertaking, which will publish its own calls for proposals.

Budget appropriations: €53 300 000 for line 06 06 01

€108 655 000 for line 06 06 02 01

€52 700 000 for line 06 06 02 02

€6 450 000 for line 06 06 03